

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Philip S. Siegel
- Serial No.: 09/817,353
Filing Date: March 26, 2001
Group Art Unit: 3627
Examiner: Joseph A. Fischetti
Title: SYSTEM AND METHOD FOR SINGLE-ACTION
RETURNS OF REMOTELY PURCHASED MERCHANDISE

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

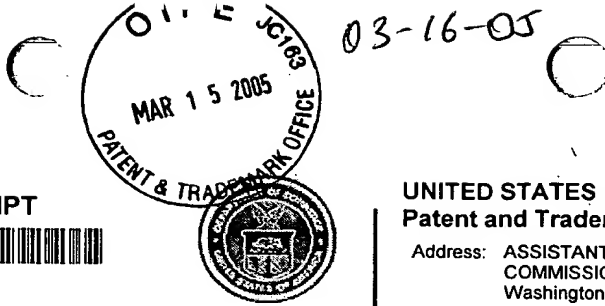
CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Counsel's Statement of Facts (2 pages) with copies of the provisional application and drawings (as filed), Baker Botts transmittal form, file-stamped return receipt postcard, and USTPO filing receipt (42 pages), Baker Botts return postcard (1 postcard), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 15th day of March 2005 and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Willie Jiles

Willie Jiles

Express Mail Receipt
No. EV 323314411 US



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CORRECTED FILING RECEIPT

OC000000005247833

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/191,811	03/24/2000		75	067439.0111	5		

Thomas R Felger
Baker Botts LLP
2001 Ross Avenue
Dallas, TX 75201-2980

Date Mailed: 07/18/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Philip S Siegel, Austin, TX ;

Continuing Data as Claimed by Applicant**Foreign Applications**

If Required, Foreign Filing License Granted 05/18/2000

**** SMALL ENTITY ******Title**

System and method for single-action returns of remotely purchased merchandise

Preliminary Class

Data entry by : JONES, KIMBERLY

Team : OIPE

Date: 07/18/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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Assistant Commissioner for Patents
Office of Initial Patent Examination
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Washington, DC 20231



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Commissioner for Patents
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Alexandria, Virginia 22313-1450

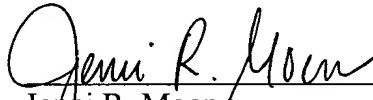
Dear Sir:

COUNSEL'S STATEMENT OF FACTS
IN RESPONSE TO OFFICE COMMUNICATION

I am a patent attorney employed with Baker Botts L.L.P. I am listed as an attorney of record for the above-identified pending U.S. patent application entitled *System and Method for Single-Action Returns of Remotely Purchased Merchandise* filed on March 26, 2001, Application No. 09/817,353. The following facts relate to the subject application.

The U.S. provisional application entitled *System and Method for Single-Action Returns of Remotely Purchased Merchandise* was filed on March 24, 2000, application no. 60/191,811. Pursuant to 37 C.F.R. § 1.105, copies of the provisional application and drawings (as filed), Baker Botts transmittal form, file-stamped return receipt postcard, and filing receipt are attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application for any patent issuing thereon.


Jenni R. Moen
Registration No. 52,038
(214) 953-6809

Date: March 14, 2005

CORRESPONDENCE ADDRESS

at Customer No.: **05073**

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

<input checked="" type="checkbox"/> Circle One: New Appn.: C.P.A.; Con.: CIP.; DIV.: Provisional: <u>Converted Provisional</u>	<input type="checkbox"/> EXTENSION OF TIME MTHS _____
<input type="checkbox"/> DECLARATION/POWER OF ATTORNEY	<input type="checkbox"/> NOTICE OF APPEAL _____
<input type="checkbox"/> ASSIGNMENT	<input type="checkbox"/> APPEAL BRIEF _____
<input checked="" type="checkbox"/> VERIFIED STATEMENT	<input type="checkbox"/> ISSUE FEE _____
<input type="checkbox"/> FORMAL DWGS _____ PAGES	<input checked="" type="checkbox"/> CHECK NO. <u>101326</u> AMT.: <u>75.00</u>
<input checked="" type="checkbox"/> INFORMAL DWGS <u>5</u> PAGES	<input type="checkbox"/> SERIAL NO. _____
<input type="checkbox"/> Circle One: AMENDMENT/RESPONSE _____	<input type="checkbox"/> OTHER _____

NAME OF INVENTOR(S):

Philip S. Siegel

TITLE OF INVENTION:

St. info Single Merchandise

CLIENT OR APPLICANT:

Return

B&B FILE NO.:

067439.0111

MAILED:

3/24/00

EXPRESS ☒

EL94439411 US

RECEIPT NO.:

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CERTIFICATE

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RECEIPT DATE & SERIAL NO.:

JC553 U.S. PTO

60/191811



03/24/00

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APPLICATION FOR PATENT under 37 C.F.R. §1.53(c)

TRANSMITTAL FORM

Docket Number: 067439.0111

BOX PROVISIONAL PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

"EXPRESS MAIL"
Express Mailing Label Number: EL519493941 US
Date of Deposit: March 24, 2000
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Timothy H. Daniel

Timothy H. Daniel

Dear Sir:

Enclosed application parts are:

<input checked="" type="checkbox"/>	Spec w/Claims	Number of Pages	<u>33</u>
<input type="checkbox"/>	Spec w/o Claims	Number of Pages	<u> </u>
<input type="checkbox"/>	Formal drawings	Number of Sheets	<u> </u>
<input checked="" type="checkbox"/>	Informal drawings	Number of Sheets	<u>5</u>

Inventor(s):

LAST NAME	FIRST NAME	MIDDLE INITIAL	RESIDENCE (CITY, STATE OR FOREIGN COUNTRY)
Siegel	Philip	S.	1701 Misty Wood Drive, Austin, Texas 78746

TITLE OF INVENTION:

SYSTEM AND METHOD FOR SINGLE-ACTION RETURNS OF REMOTELY PURCHASED MERCHANDISE

CORRESPONDENCE ADDRESS: Thomas R. Felger
Baker Botts L.L.P.
2001 Ross Avenue
Dallas, Texas 75201-2980

PHONE: (512) 322-2599

FAX: (512) 322-8349

Was this invention made under a Government contract? ☒ No ☐ Yes

Identify contract and the Government agency: _____

Enclosed is a check in the amount of \$75.00 for the filing fee. The Commissioner is authorized to charge any extra fees or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P. This form is transmitted in duplicate.

Respectfully submitted,

Brian A. Dietzel

Brian A. Dietzel

Registration No. 44,656

Date March 24, 2000

EL519493941US

PROVISIONAL APPLICATION FOR PATENT ONLY